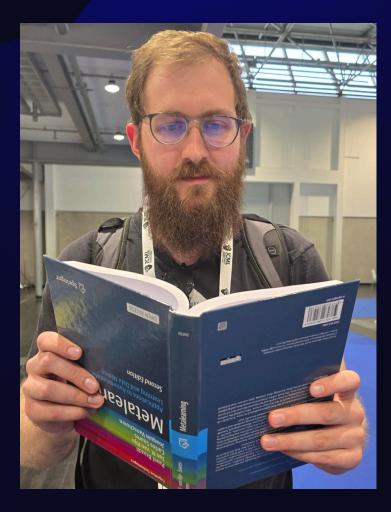
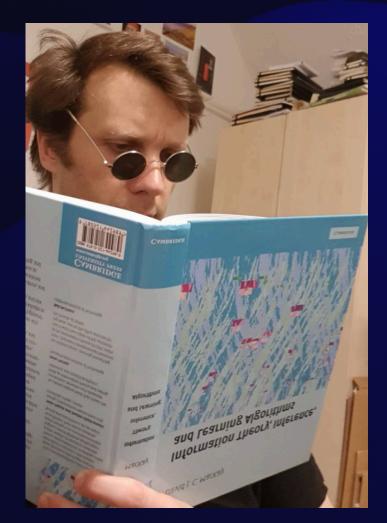
Arrows of Time for LLMs Vassilis Papadopoulos, Jérémie Wenger, Clément Hongler



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Next-Token Prediction (Forward model)

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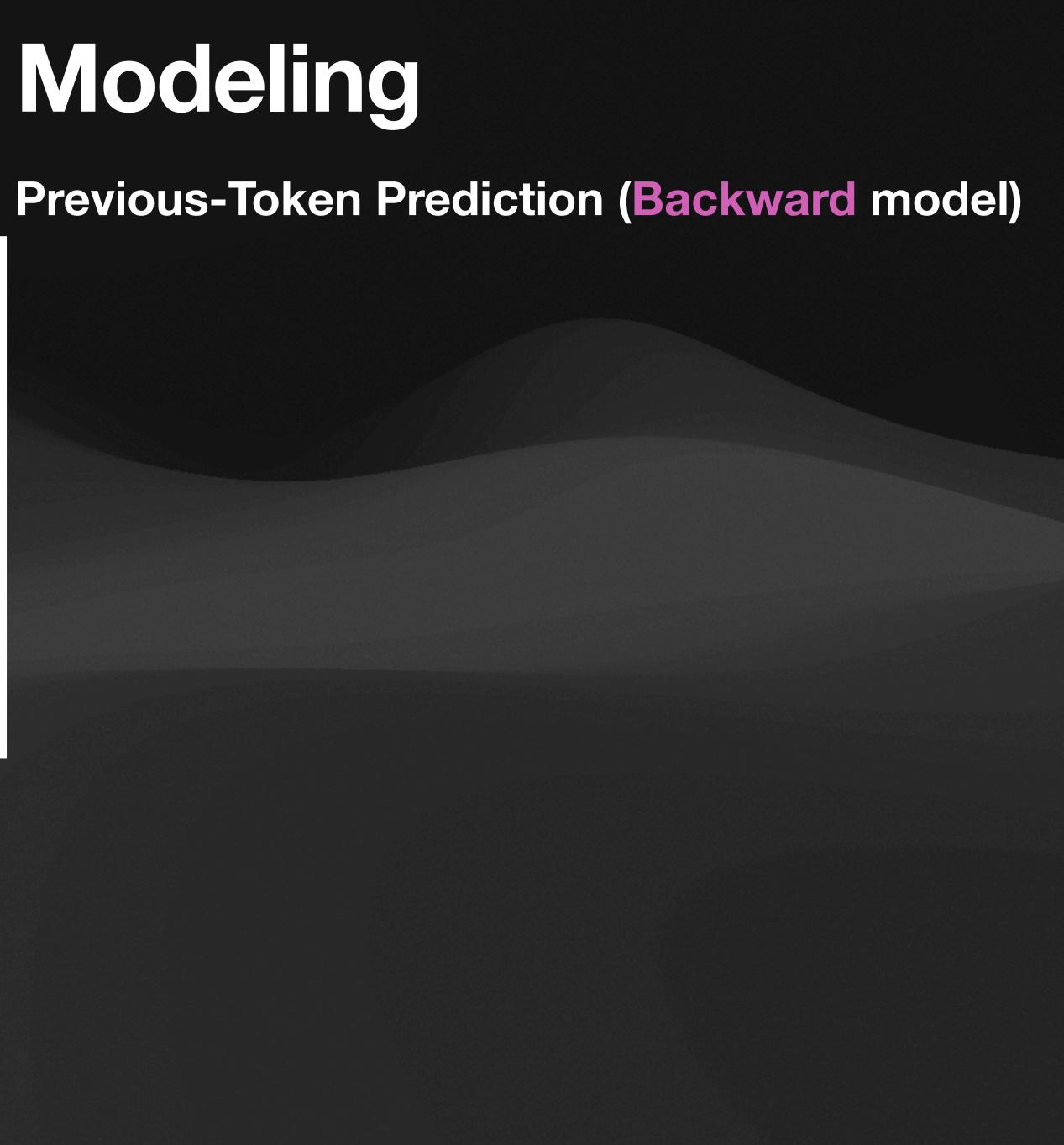
• Estimate $\mathbb{P}\left(X_k = x \mid x_1 \cdots x_{k-1}\right)$ as $\mathbb{P}^{\rightarrow}(X_k = x \mid x_1, \cdots, x_{k-1}) = p_k^{\rightarrow}(x)$

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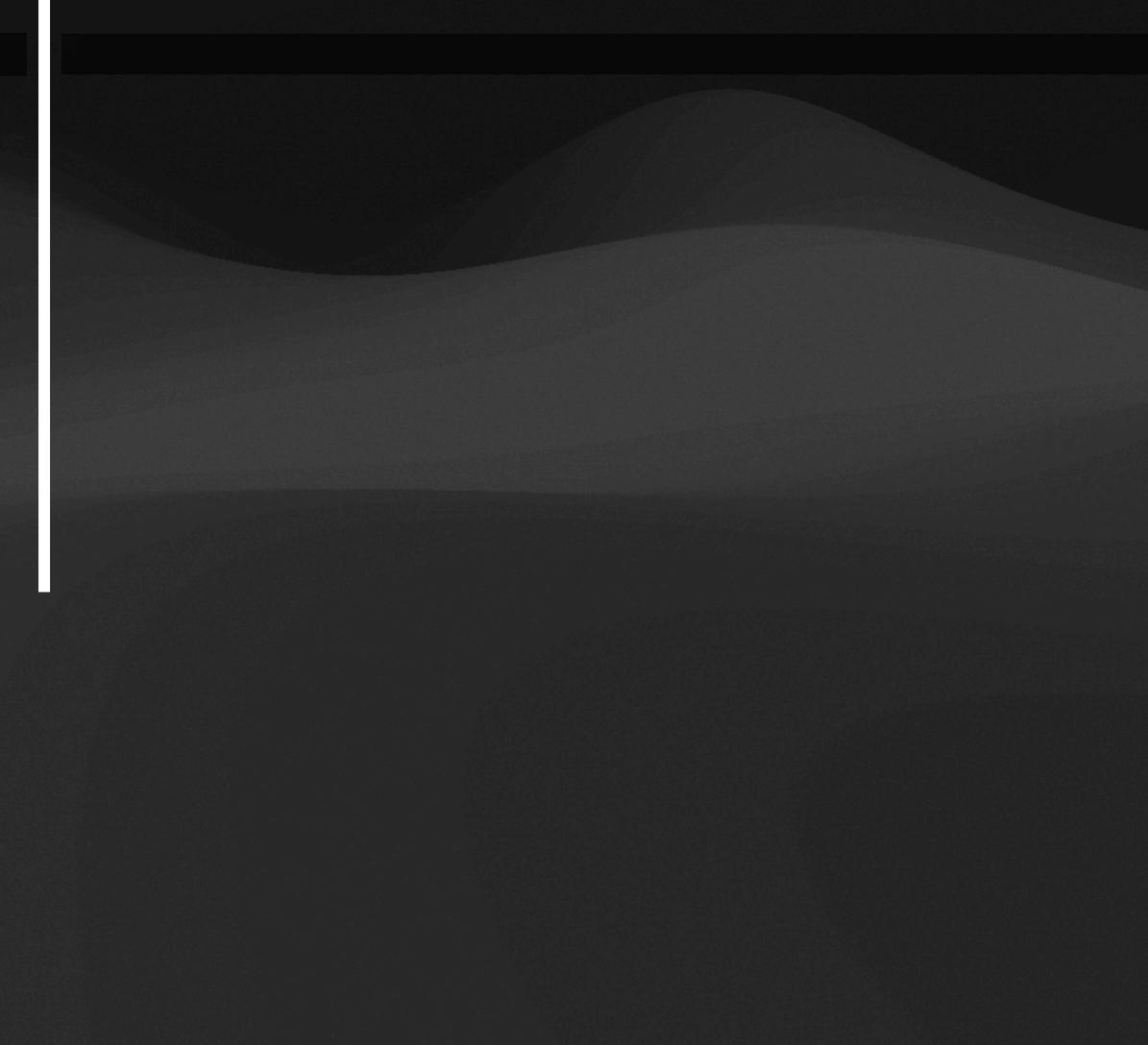
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Previous-Token Prediction (Backward model)





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 $\mathbb{P}(x_1, \cdots, x_n)$



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- $\mathbb{P}(X_1 = x_1) \times \mathbb{P}(X_2 = x_2 | x_1) \qquad \text{Both } \mathbb{P}^{\rightarrow} a$ $\times \cdots \qquad = \qquad \mathbb{P}(X_n = x_n | x_1 \cdots x_{n-1})$

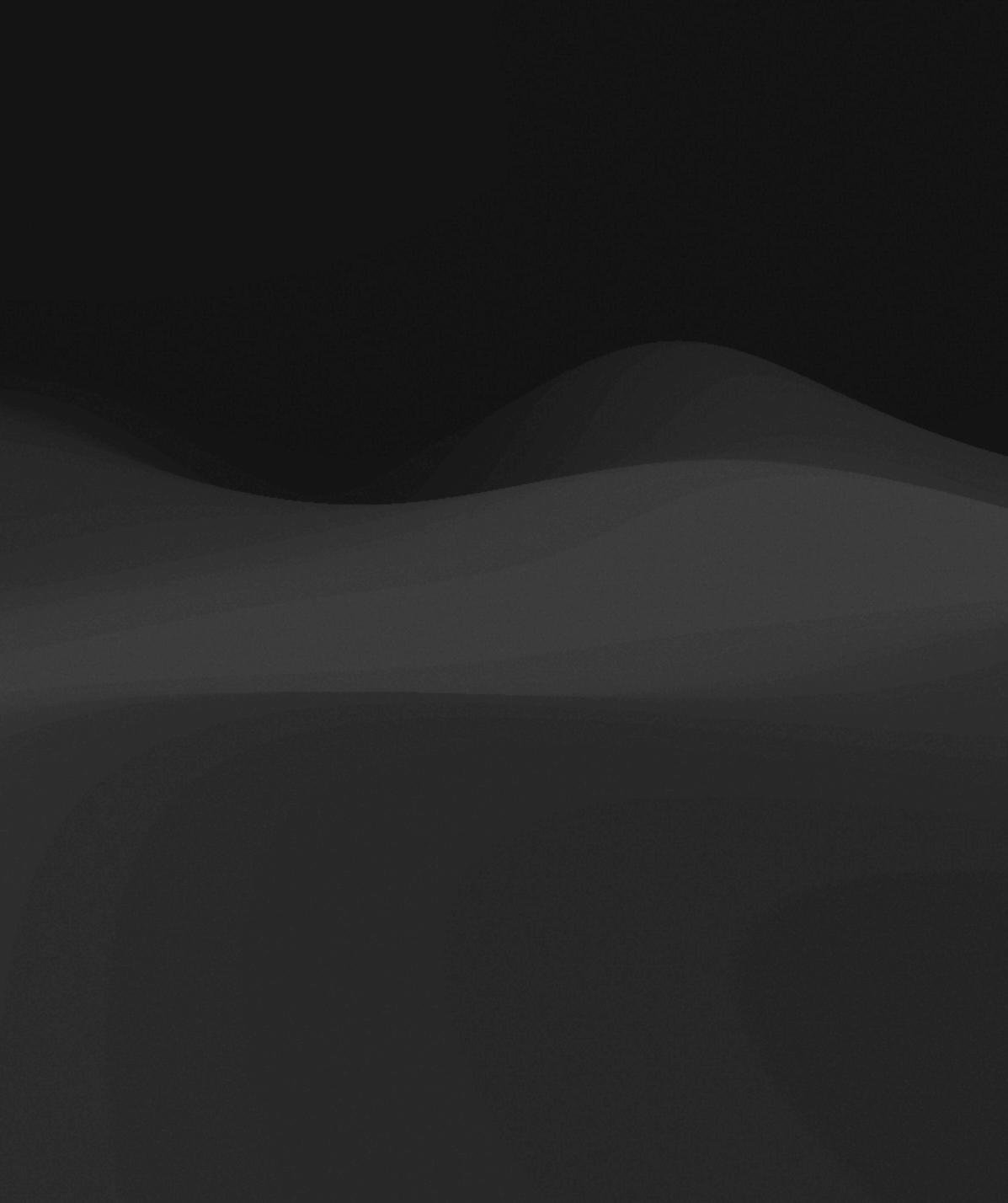
When training LLMs, do we have $\mathbb{P}^{\rightarrow} = \mathbb{P}^{\leftarrow}$?

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Both \mathbb{P}^{\rightarrow} and \mathbb{P}^{\leftarrow} estimate : $\mathbb{P}(X_n = x_n) \times \mathbb{P}(X_{n-1} = x_{n-1} | x_n)$ $\mathbb{P}(X_1 = x_1 | x_n \cdots x_2)$





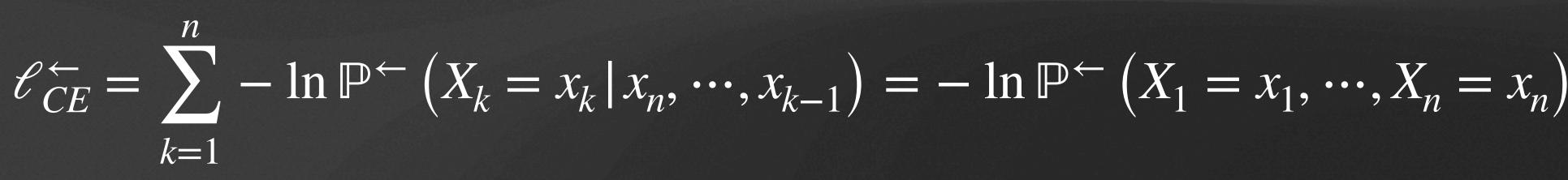
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Once upon a time, lived a wise old woman who knew the secrets of the forest.

$$\mathscr{C}_{CE}^{\leftarrow} = \sum_{k=1}^{n} -\ln\mathbb{P}^{\leftarrow}\left(X_{k} = x_{k} \mid x_{n}, \cdots, x_{k-1}\right) = -\ln\mathbb{P}^{\leftarrow}\left(X_{1} = x_{1}, \cdots, X_{n} = x_{n}\right)$$

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┥┝━━┥║║╫┝━━━━━┥┝┥┝━━━┥┝╼┥┝╼┥┝╼┥┝╼┥┝╼┥╏┝┥┝━╾┥╢ Once upon a time, lived a wise old woman who knew the secrets of the forest.

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Once upon a time, lived a wise old woman who knew the secrets of the forest. ▋┃▋┣━━┥┣━┥┣━━┥╫┣━┥┣━┥┣━┥┣━┥┣━━┥**║┝───┥║┝───┥┝┥**╢┣━━━━┥┣─┤

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┥┝╾╍┥╽╽╫┝╾╍╍╍╍┥┝┥┝╾╍╍┥┝╍┥┝╍┥┝┥┝╼╍┥┝╼┥┝╼┥╏┝┥┝╾╍┥╫ Once upon a time, lived a wise old woman who knew the secrets of the forest.

Prediction and Entropy of Printed English

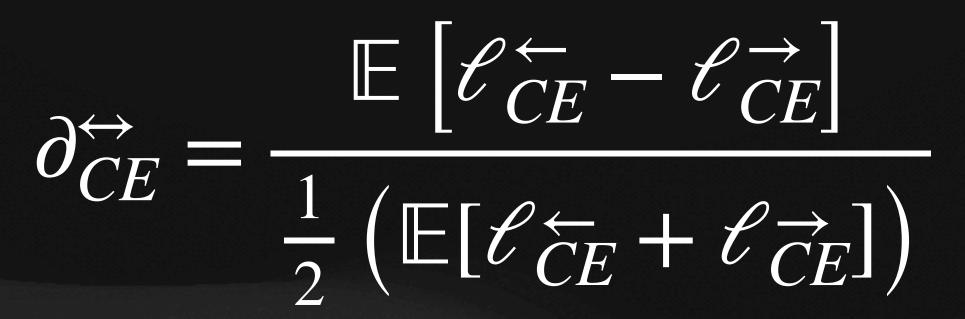
By C. E. SHANNON

(ManuscriptReceived Sept. 15, 1950)

A new method of estimating the entropy and redundancy of a language is described. This method exploits the knowledge of the language statistics pos-sessed by those who speak the language, and depends on experimental results in prediction of the next letter when the preceding text is known. Results of experiments in prediction are given, and some properties of an ideal predictor are developed.



Arrow of Time





$\partial_{CE}^{\leftrightarrow} = \frac{\mathbb{E}\left[\ell_{CE}^{\leftarrow} - \ell_{CE}^{\rightarrow}\right]}{\frac{1}{2}\left(\mathbb{E}[\ell_{CE}^{\leftarrow} + \ell_{CE}^{\rightarrow}]\right)}$

$\partial_{CE}^{\leftrightarrow}$ quantifies differences in learned \mathbb{P}^{\rightarrow} and \mathbb{P}^{\leftarrow}



$\partial_{CE}^{\leftrightarrow} > 0 \Leftrightarrow \text{FW better}$

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$\partial_{CE}^{\leftrightarrow} < 0 \Leftrightarrow BW$ better

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A dataset has an Arrow Of Time if $\partial_{CE}^{\leftrightarrow}$ has a consistent sign







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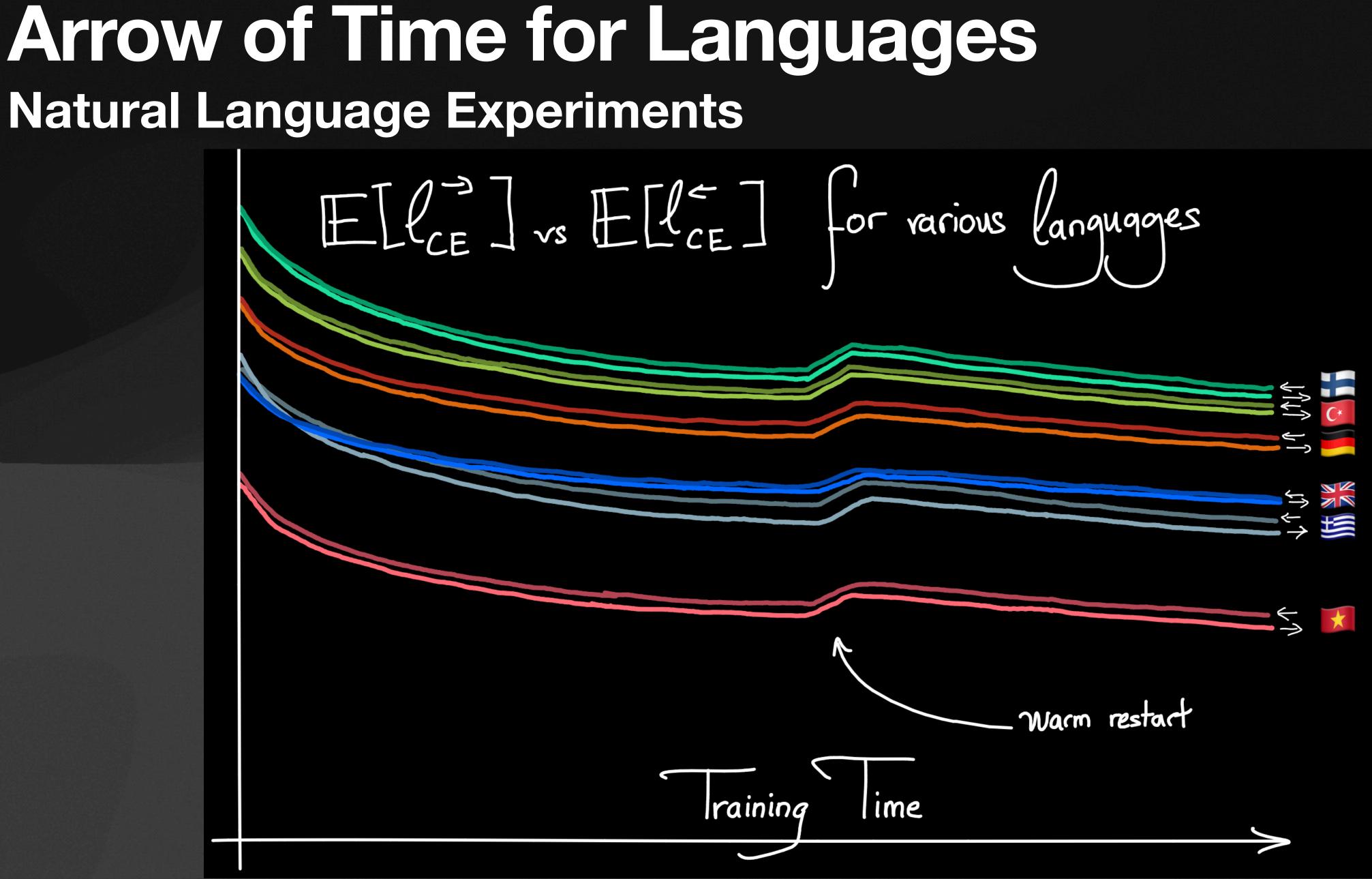


Dataset: CC100 (> 30 Gb of text per language) ullet

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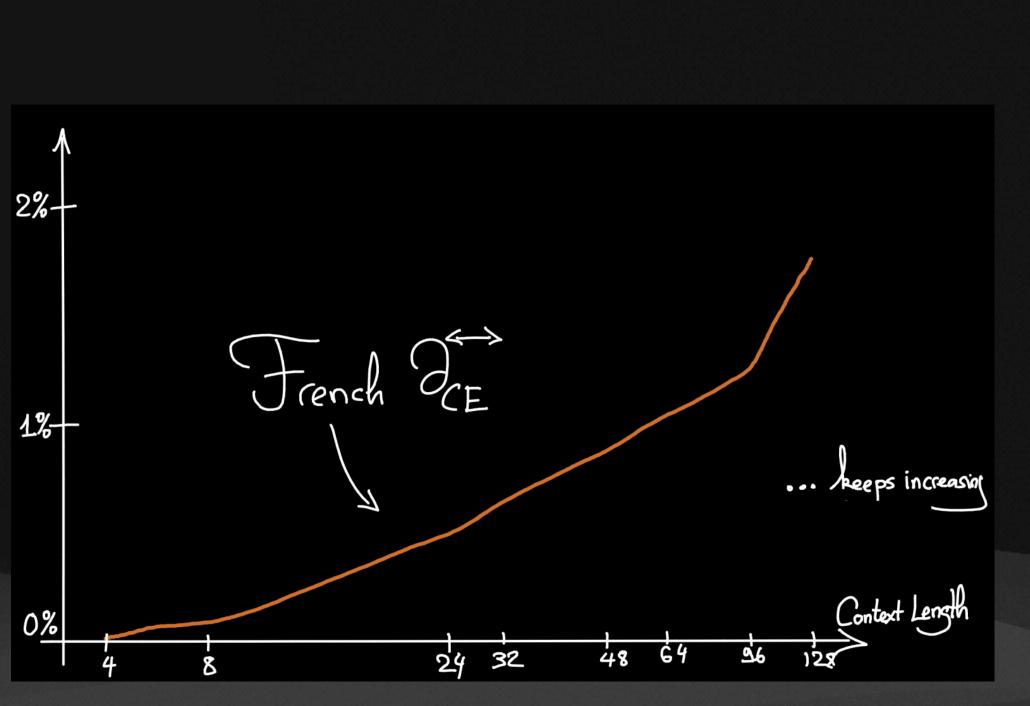
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- Tokenization: Byte-Pair Encoding, recomputed for each language
- Model: GPT2-Medium (~350M params), 256-token context length

Natural Language Experiments

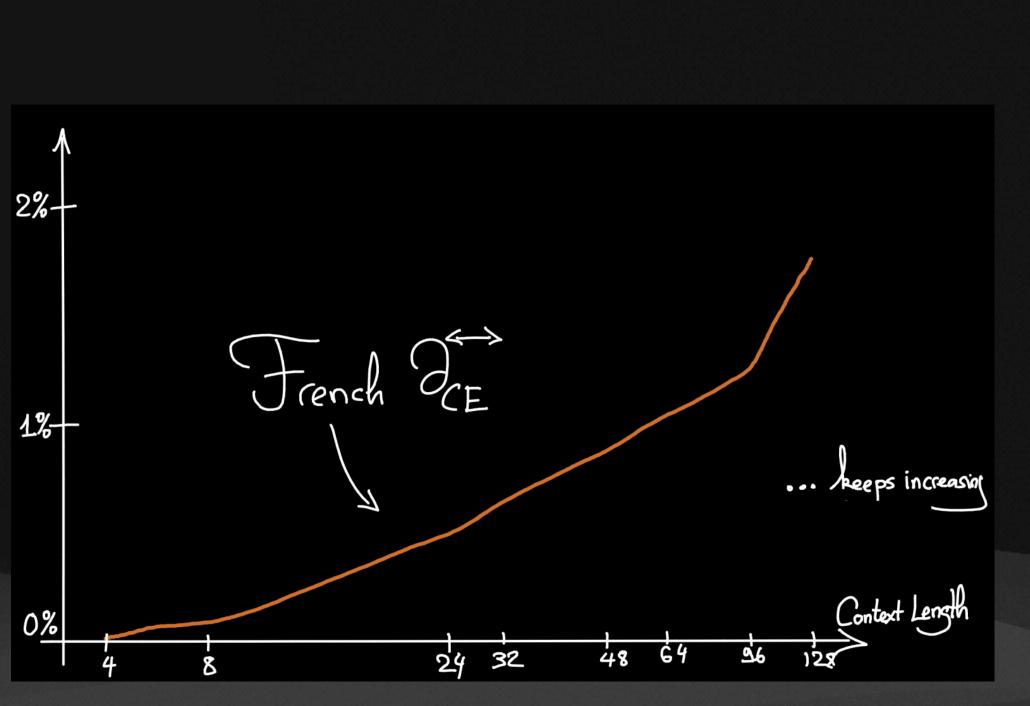


• FW AoT universality across languages (we tested 11)

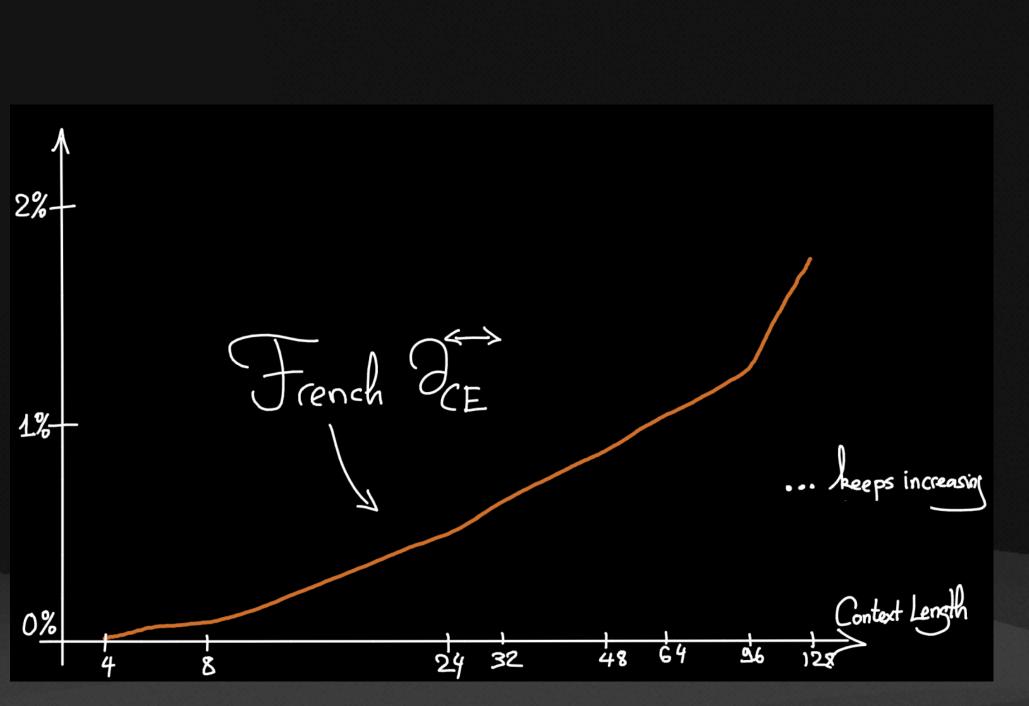
- FW AoT universality across languages (we tested 11)
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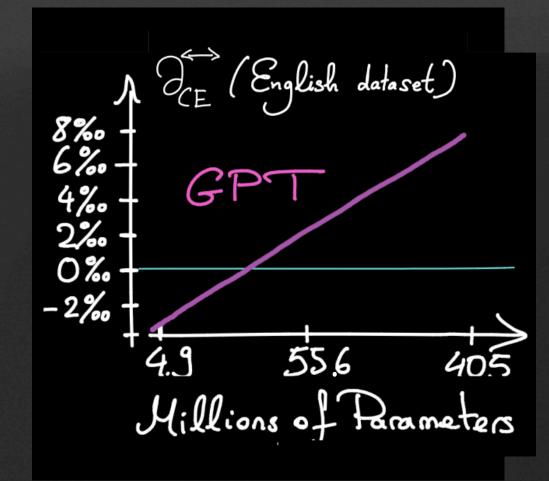


- FW AoT universality across languages (we tested 11)
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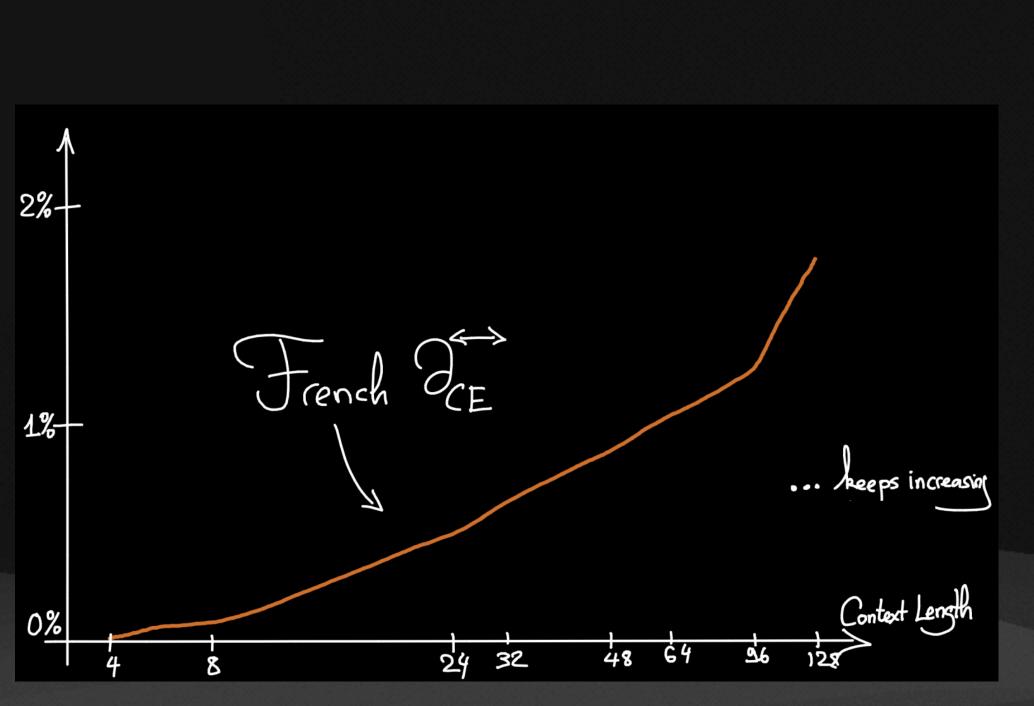


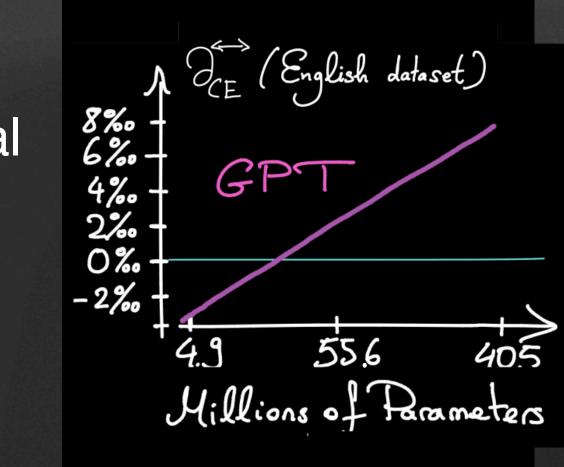
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 - >AoT origin semantic, rather than grammatical

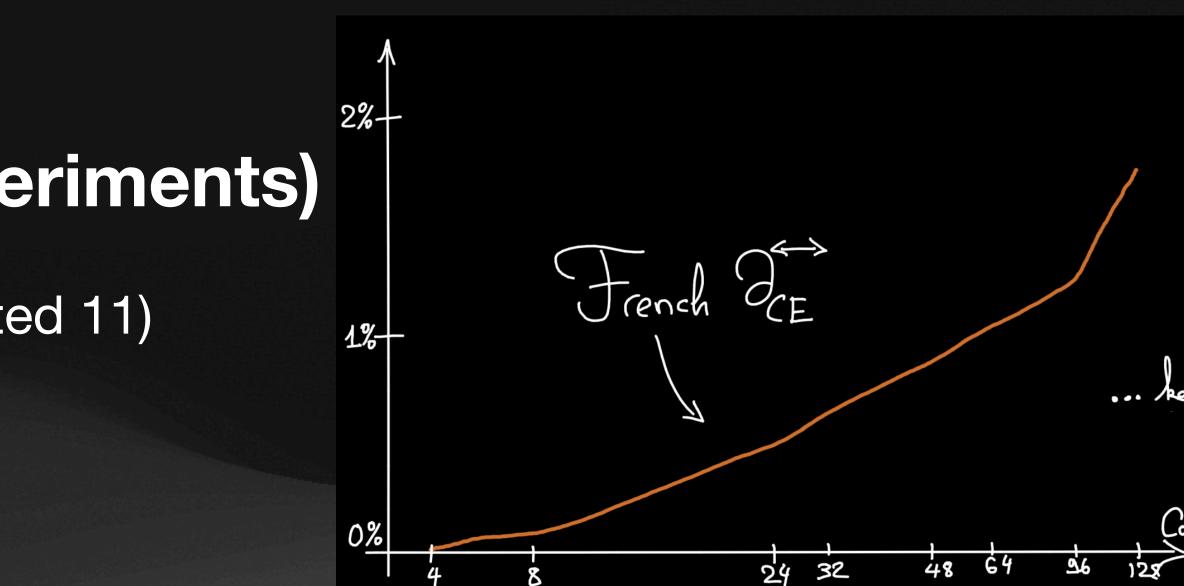


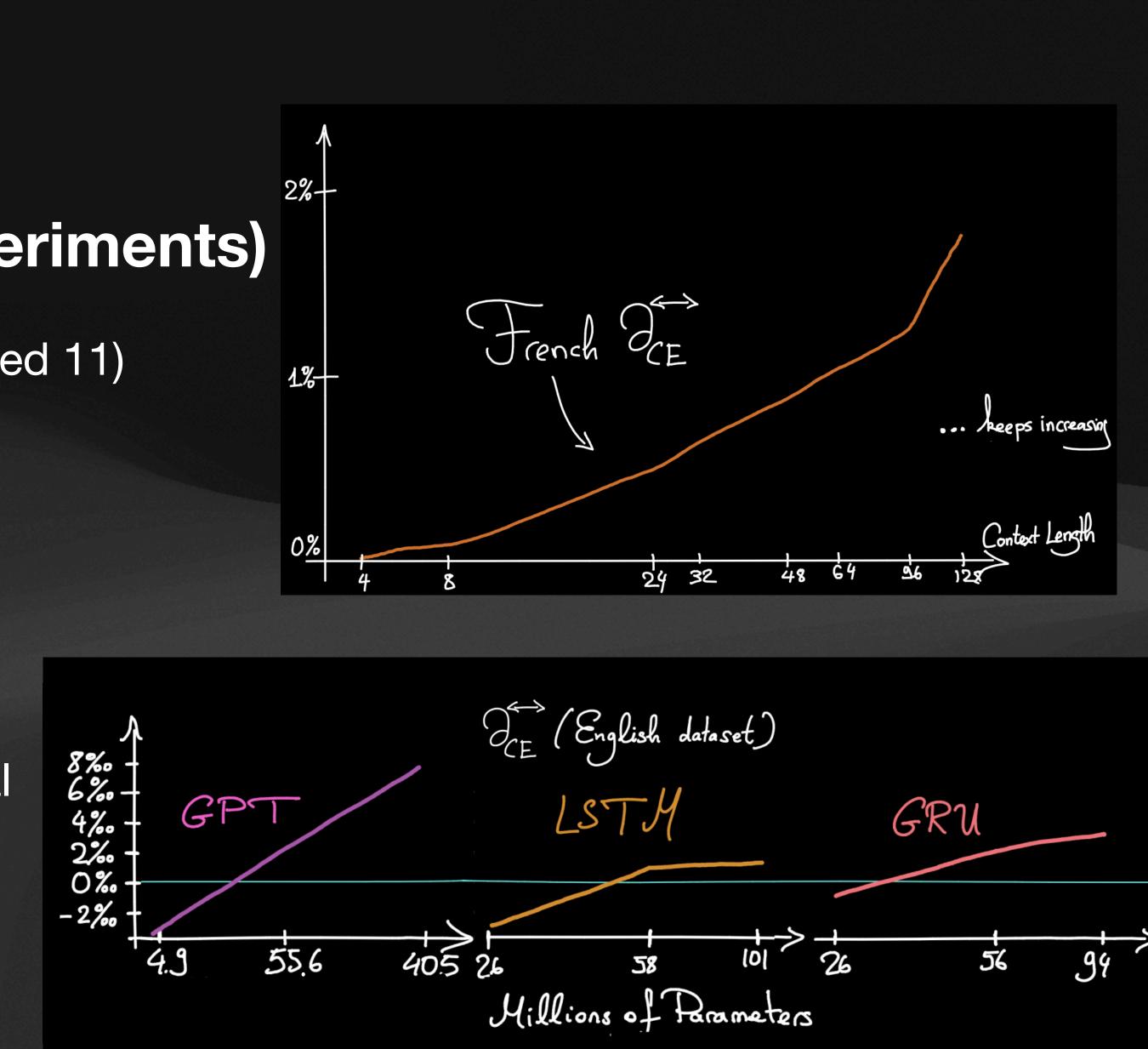


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 AoT universal across architectures (we tested) LSTMs, GRUs, GPTs)



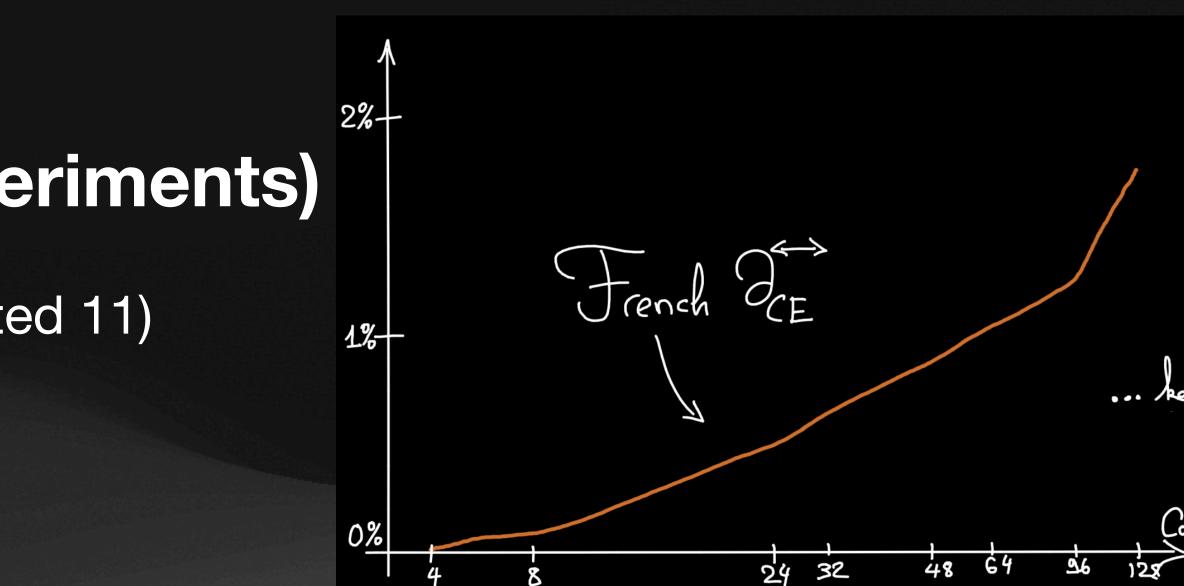


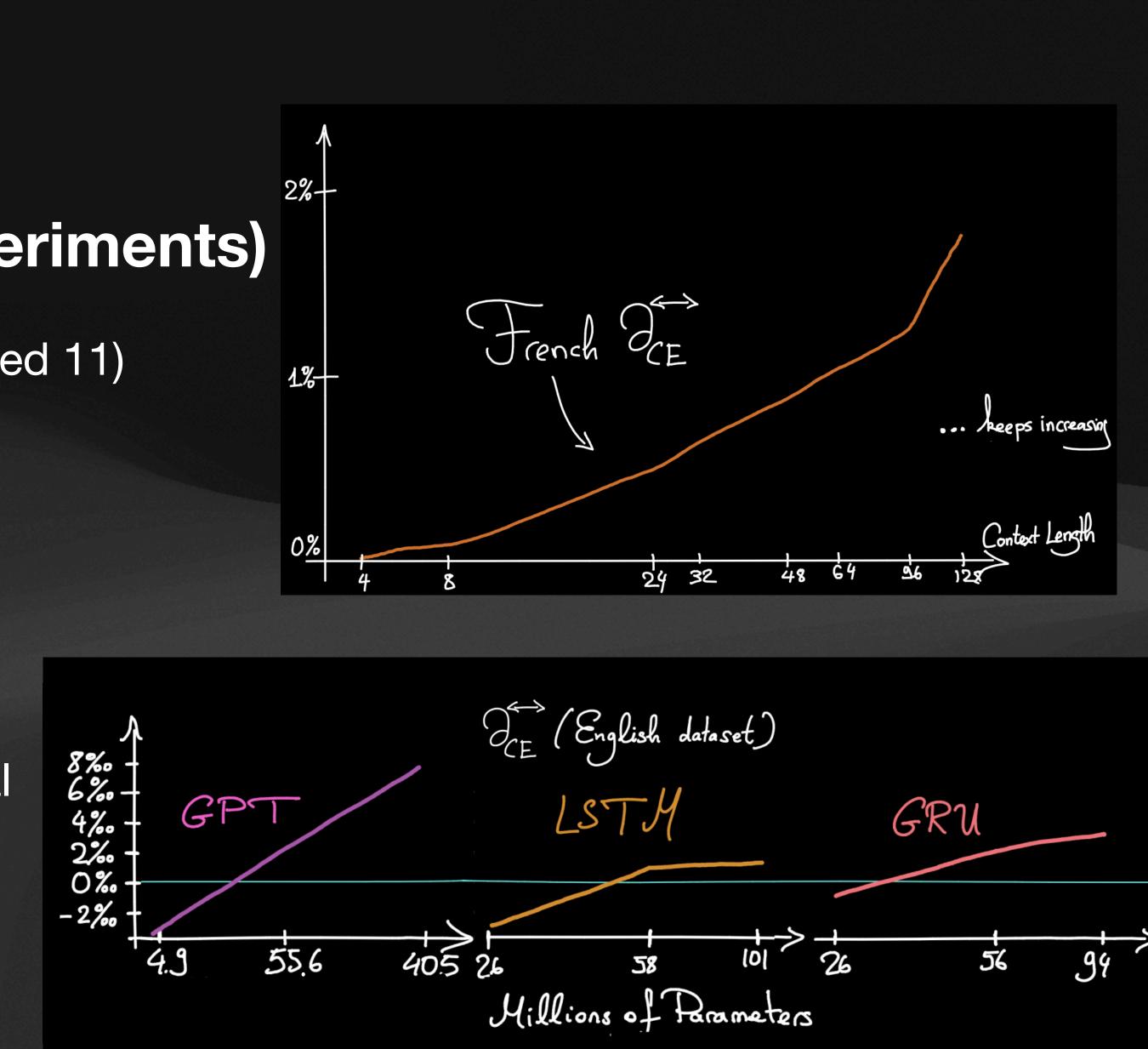
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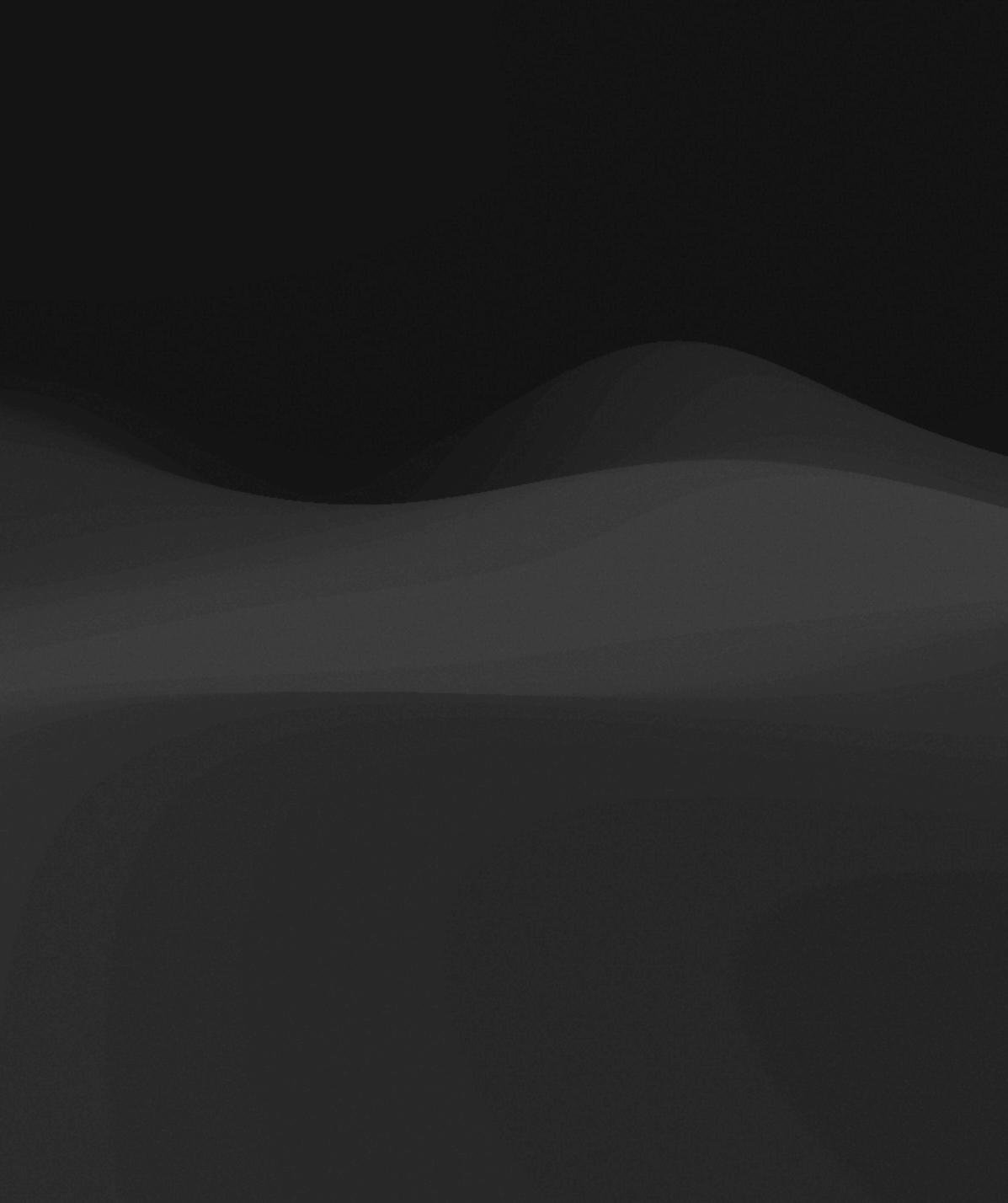
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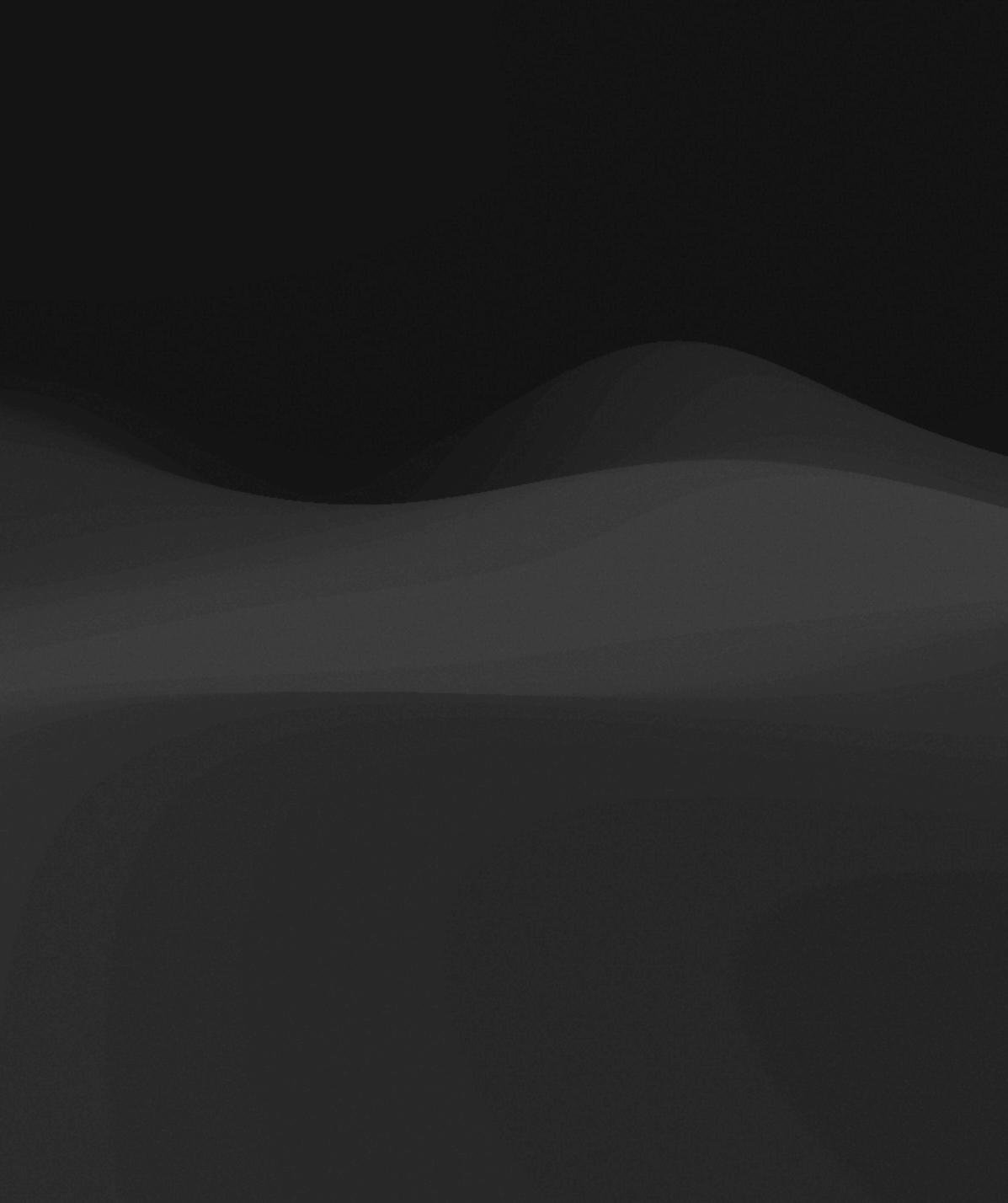
>As models get stronger, AoT increases





Origin of AoT





• Consider a dataset of the form $p_1 \times p_2 = n$ with $p_1 < p_2$ primes

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Examples: $151 \times 353 = 053303$ $367 \times 593 = 217631$ $463 \times 997 = 461611$



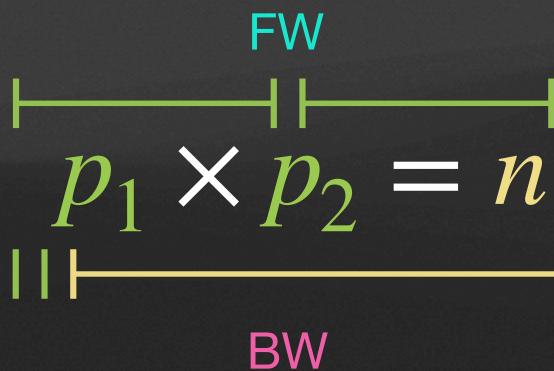
- Consider a dataset of the form $p_1 \times p_2 = n$ with $p_1 < p_2$ primes
- Theoretical FW and BW cross-entropy losses match, as they should:

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- Consider a dataset of the form $p_1 \times p_2 = n$ with $p_1 < p_2$ primes
- Theoretical FW and BW cross-entropy losses match, as they should:
 - For FW, LHS determines RHS, for BW, RHS determines LHS

Examples: $151 \times 353 = 053303$ p = n with $p_1 < p_2$ primes losses match, as they should: W RHS determines LHS





- $151 \times 353 = 053303$ $367 \times 593 = 217631$ $463 \times 997 = 461611$ For FW, LHS determines RHS, for BW, RHS determines LHS FW $p_1 \times p_2 = n$

- Consider a dataset of the form $p_1 \times p_2 = n$ with $p_1 < p_2$ primes Theoretical FW and BW cross-entropy losses match, as they should: • For the FW model to do well, it needs to learn to multiply p_1, p_2



Examples:

BW

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> Transformers can learn to do this



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Origin of AoT

- Examples: via Computational Hardness $151 \times 353 = 053303$ $367 \times 593 = 217631$ $463 \times 997 = 461611$ For FW, LHS determines RHS, for BW, RHS determines LHS FW $p_1 \times p_2 = n$ > Transformers can learn to do this BW

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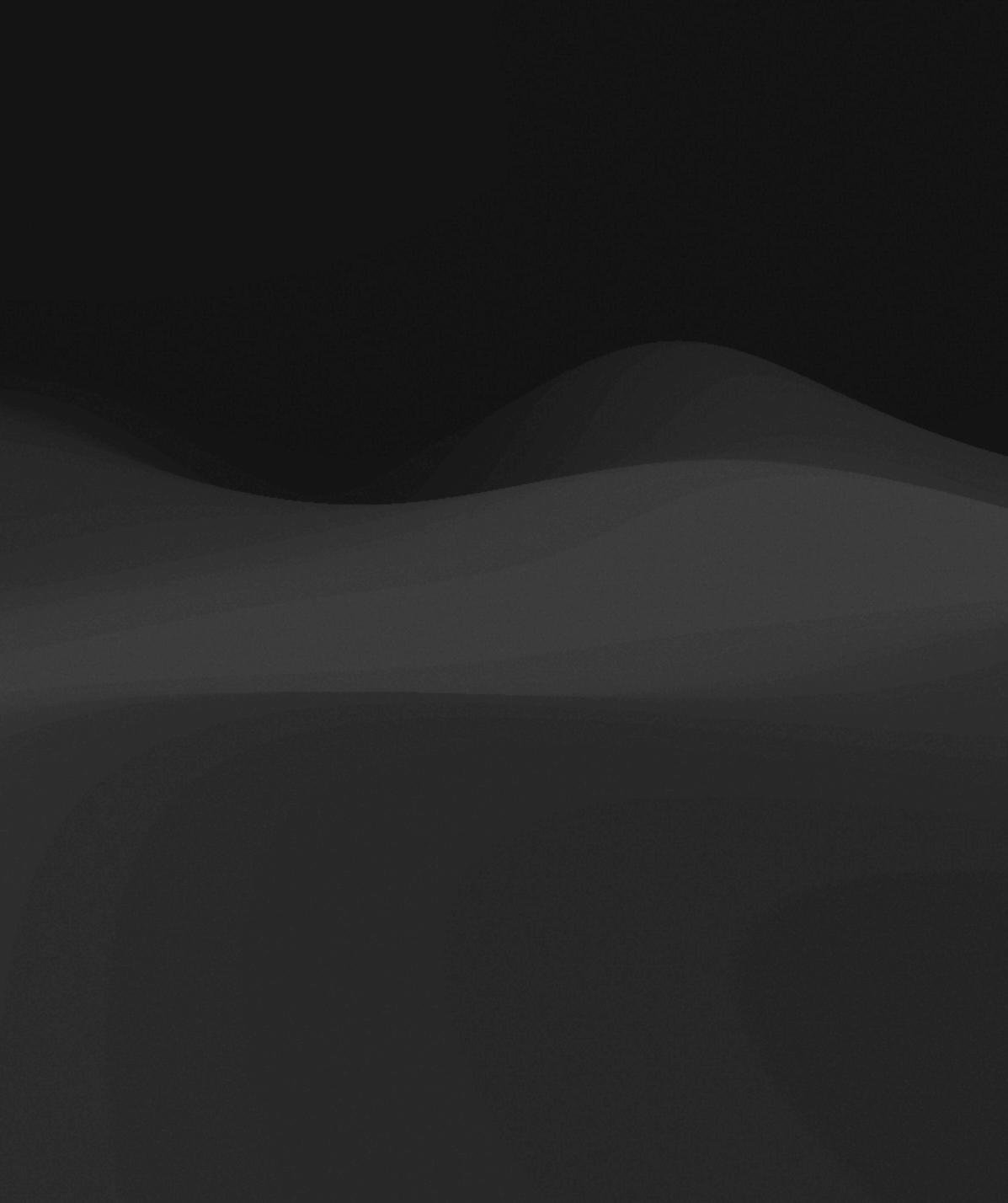
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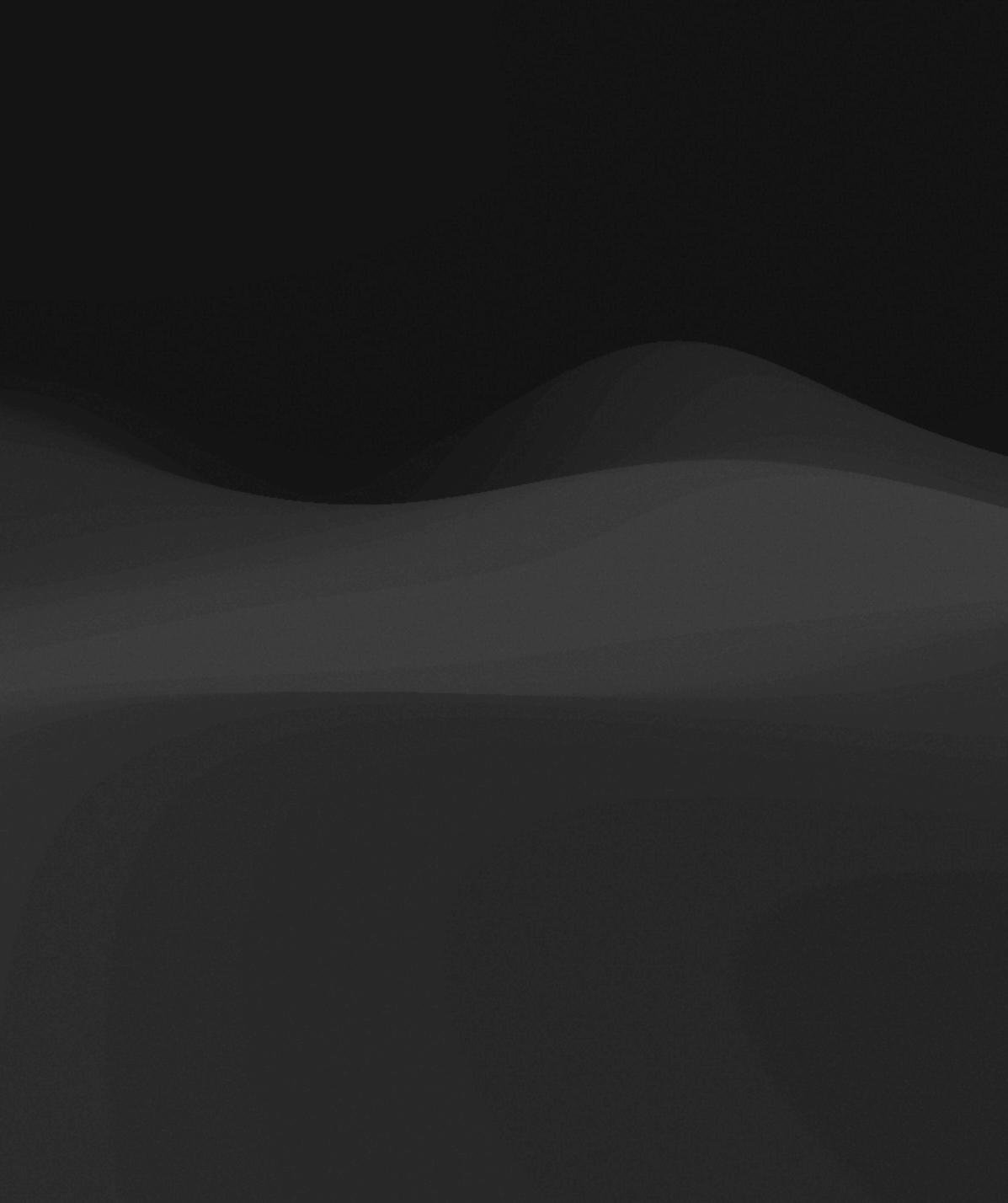
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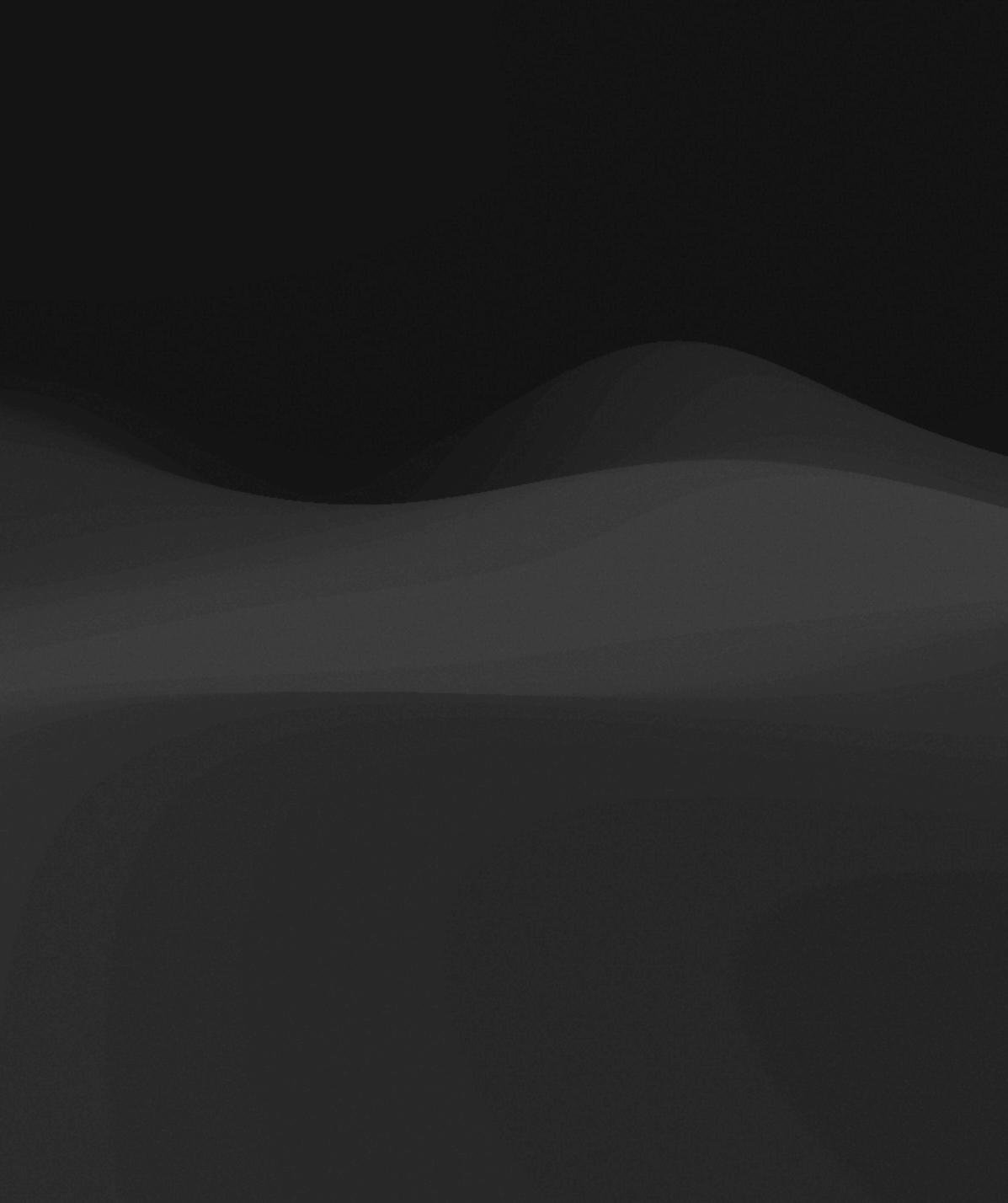


Emergence of AoT





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 - Dataset x : y, with x and y both random m-bit strings

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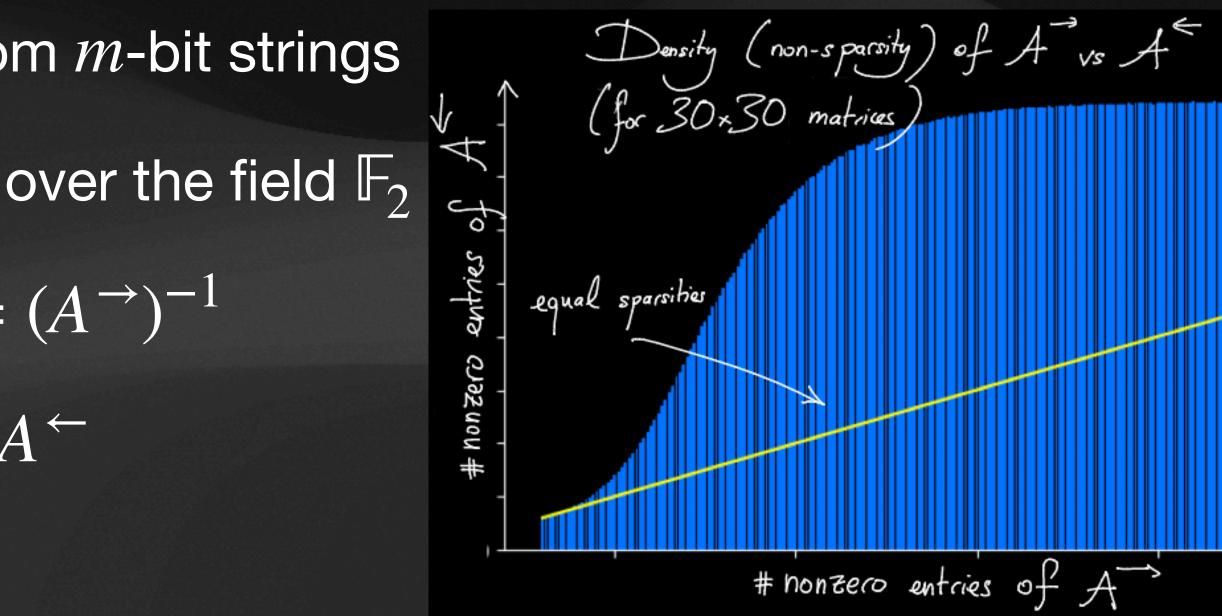
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Examples:

010101 : 101110 011001 : 110101 100010:011101



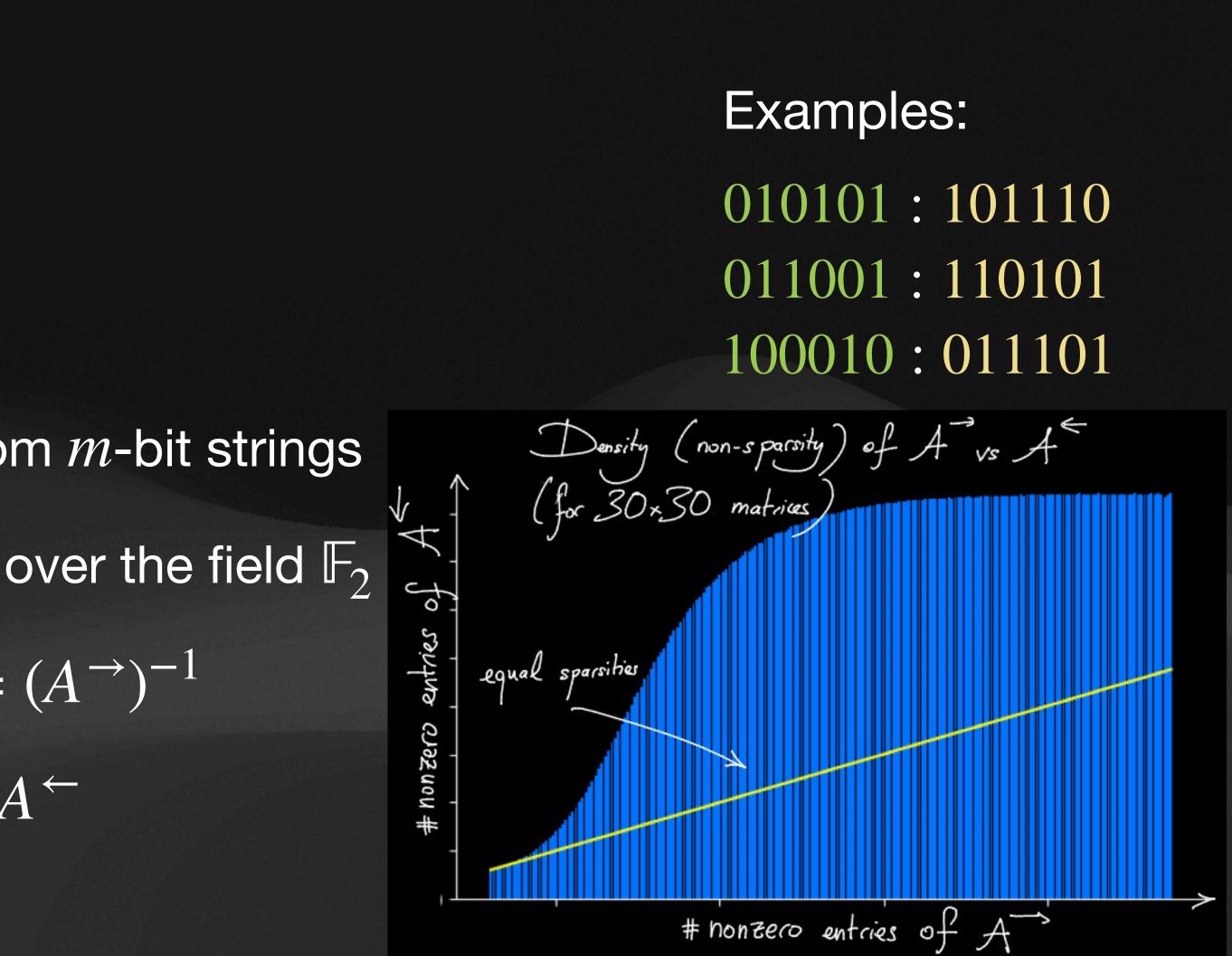


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 - Also for fine-tuning: $A^{\rightarrow} \hat{A}^{\rightarrow}$ sparse $\Longrightarrow A^{\leftarrow} \hat{A}^{\leftarrow}$ less sparse

Examples:



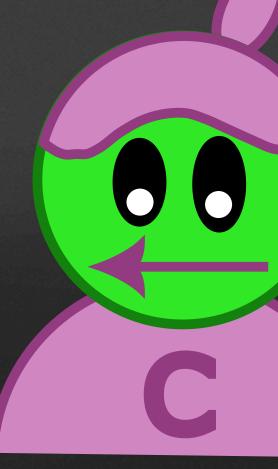
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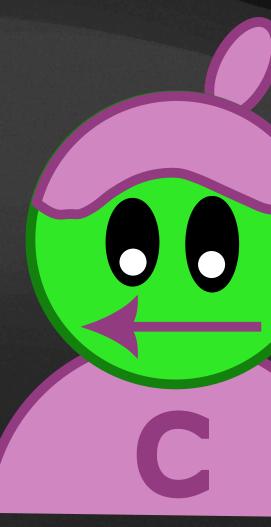


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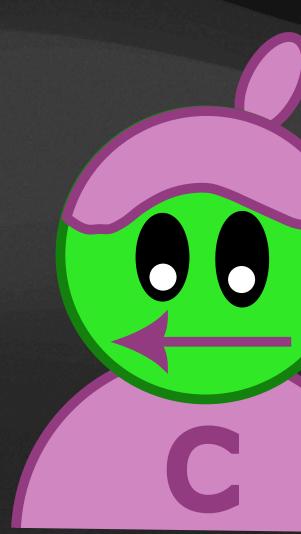


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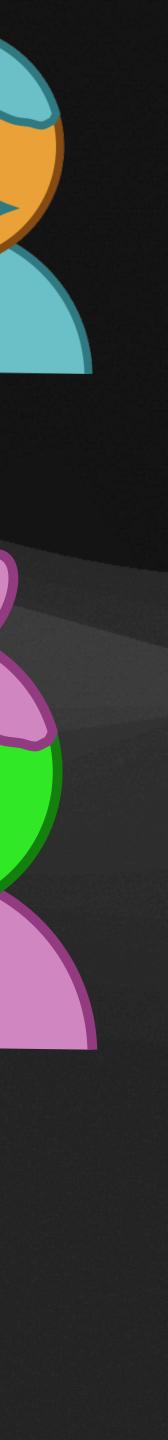
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- AoT emerges from the selection process:
 - Alice only communicates sparse-forward updates (because that's what is easy for Bob); typically Carol struggles more.



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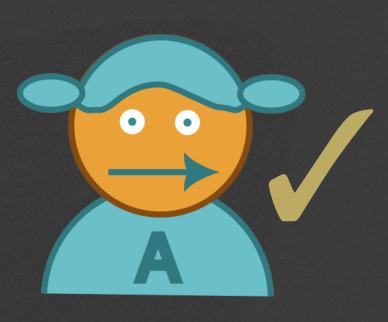
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Thank you for your attention!

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Thank you for your attention! Hopefully, this talk was sparse in your favorite time direction!

